SAM Photochemistry

Photoconversion of a Redox-Active Self-Assembled Monolayer: In Situ Probing of Photoinduced CO Dissociation from a Triruthenium Cluster Center on Gold**

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Investigations on the photoreactivity of molecular compounds assembled on solid surfaces or at solid/solution interfaces are a prerequisite for the photochemical design of functional molecular thin films.^[1] Extensive studies have been made on the fabrication of photoresponsive molecular layers on electrode surfaces by using organic, inorganic, and biological building blocks, in which, for example, isomerization,^[2] chemical bond formation and cleavage,^[3] and directional electron transfer are observed.^[4]

Photoinduced ligand substitution is an additional potential candidate for controlling surface properties of thin films that are constructed from transition-metal coordination compounds. Although significant progress has been made in solution-phase photoinduced substitution reactions,[5] their application to precise tuning of thin-film properties at solid surfaces have rarely been exploited to date. [6] Here we describe the in situ observation and analysis of photoinduced CO dissociation from a self-assembled monolayer (SAM) of a triruthenium cluster on Au(111), which is, to our knowledge, the first well-defined example of clean photoconversion of a redox-active SAM that leads to a significant shift of the redox potential. We also report on photocurrent generation by the present SAM, which is an unprecedented finding for this class of cluster molecules. This study thus provides a new route to photochemical design of redox-active molecular films on

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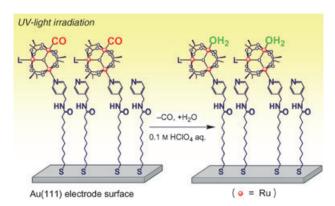
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electrode surfaces, which should be of considerable use in molecular electronics and devices.

Scheme 1 illustrates the proposed photochemical reaction in the SAM. We employed disulfide-functionalized, CO-



Scheme 1. Proposed photoinduced CO dissociation from a monolayer of 1 self-assembled on Au(111). L=4-methylpyridine.

ligated triruthenium cluster **1** as photoresponsive molecule. This compound was chosen because of its well-defined photoinduced CO dissociation in solution and the availability of a methodology for preparing densely packed SAMs on the surface of a gold electrode. Trinuclear clusters of this type have been used to construct ligand-bridged extended molecular architectures by chemical synthesis extended molecular architectures by chemical synthesis and layer-by-layer deposition on gold surfaces, swell as to fabricate a ligand switching device. Complex **1** is a mixed-valent

 $Ru_3^{II,III,III}$ complex in which the $+\pi$ oxidation state is localized at the ruthenium site bound to $CO^{[17,18]}$ (here we designate the triruthenium redox moiety as $\{Ru^{II}\text{-}CO\}Ru^{III}Ru^{III}\}$). The CO ligand of 1 remains coordinated to the ruthenium center in the SAM as long as the $\{Ru^{II}\text{-}CO\}Ru^{III}Ru^{III}$ oxidation state is maintained, but it dissociates when the one-electron-oxidized $\{Ru^{III}\text{-}CO\}Ru^{III}Ru^{III}\}$ state is prepared electrochemically by holding the applied potential at $+0.8\ V$ (vs Ag/AgCl in 0.1M HClO $_4$) to form an H_2O -terminated SAM as the result of interfacial ligand substitution. [7]

Cyclic voltammetry was used to probe photoinduced CO dissociation from the redox-active SAM on Au(111). A SAM-modified Au(111) disk ($A = 0.44 \,\mathrm{cm^2}$), together with a platinum-coil counterelectrode and an Ag/AgCl reference electrode, was placed in a photoelectrochemical cell filled with an Ar-purged aqueous solution of $0.1 \,\mathrm{m}$ HClO₄. An Hg lamp (250 W; Ushio, USH-250D) was employed for irradiation through the 2 mm-thick quartz-plate window of the cell. To probe the photolytic reaction of the SAM, cyclic voltam-

mograms (CVs) were recorded at constant time intervals of irradiation. During irradiation, the electrode potential was held at +0.3 V, a value that is more negative than $E_{1/2}$ of the SAM, to avoid electrochemical dissociation of CO from the cluster center.^[7]

Figure 1 shows changes in CV on photolysis of an SAM of 1 on Au(111) in contact with 0.1M HClO₄ aqueous solution at RT. Prior to irradiation (Figure 1a), the CV shows a redox

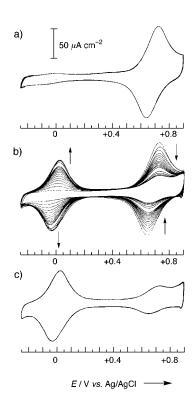


Figure 1. CVs of the SAM-modified Au(111) electrode. a) Prior to irradiation. b) Sequential variation in CV during UV irradiation (0 < t < 520 s). Upward and downward arrows represent the increase and decrease, respectively, in current of the redox waves. c) After 520 s irradiation. Electrolyte: 0.1 M aqueous HClO₄. Applied potential during irradiation: \pm 0.3 V versus Ag/AgCl. Scan rate for CV: 500 mVs⁻¹.

wave which corresponds to the {RuII-CO}RuIIIRuIII/{RuIII-CO}Ru^{III}Ru^{III} process^[7] at +0.68 V versus Ag/AgCl with a surface coverage of $\Gamma = 2.5 \times 10^{-10} \,\mathrm{mol \, cm^{-2}}$. When the SAMmodified Au(111) electrode was exposed to UV light from the Hg lamp (Figure 1b), the redox wave at $E_{1/2} = +0.68 \text{ V}$ decreased in intensity, while a new redox wave appeared and increased in intensity at $E_{1/2} = 0.00$ V, a value that is very close to that of the H₂O-terminated SAM generated electrochemically.^[7] This value is also close to that of CO-free solvento complex [Ru₃(μ₃-O)(μ-CH₃COO)₆(4-methylpyridi- $(H_2O)^+$ dissolved in acetonitrile containing $0.1 \, \text{M}$ nBu_4NPF_6 ($E_{1/2} = +0.04 \text{ V}$ vs Ag/AgCl).^[19] After 520 s of UV exposure (Figure 1c), nearly complete transformation of the monolayer is observed. More direct evidence for photolytic dissociation of CO was obtained by IR spectroscopy.^[20] The SAM of 1 assembled on Au(111) displays an intense absorption peak due to v(CO) at $\tilde{v} = 1944 \text{ cm}^{-1}$, which exhibits, as expected, a significant decrease in intensity after photolysis.

The photoinduced transformation was quantitatively analyzed by integrating charge density under the two redox waves presented in Figure 1. Figure 2a shows charge density profiles of surface-assembled 1, H_2O -terminated photoproduct, and the sum of the two against irradiation time. As is visible from Figure 2b, the photoinduced dissociation of CO

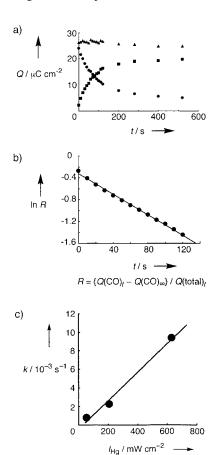


Figure 2. Analysis of photoinduced CO dissociation. a) Variation of charge Q of surface species obtained from CVs presented in Figure 1.
•: CO-terminated SAM; ■: H_2O -terminated SAM; A: sum of the two components. b) First-order plot of the decay of CO-terminated SAM as a function of irradiation time t. c) Linear dependence of the rate constant k for CO dissociation on light intensity I_{He} .

is well described by first-order kinetics with a rate constant of $(2.3\pm0.1)\times10^{-3}~\text{s}^{-1}$ at a light intensity of 210 mW cm $^{-2}$. The rate constant increases linearly with increasing light intensity (Figure 2c). Changing the electrolyte solution from 0.1m HClO $_4$ to 0.05 m H_2SO_4 does not affect the rate constant of photodissociation; this suggests that the electrolyte anions do not participate in the photoexcited state of the cluster.

We emphasize that the photochemical transformation of the monolayer is clean: the two redox-active moieties remain undesorbed from the surface during irradiation (Figure 2a). The preservation of the monolayer on the electrode surface was also supported by a reductive desorption experiment $(0.5 \,\mathrm{M}$ aqueous KOH solution), which showed a well-defined Au–S desorption peak at $-0.92 \,\mathrm{V}$ for the photolytically

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generated H₂O-terminated SAM.^[21] We speculate that the closely packed character of the photoactive cluster moieties, as well as the deaerated conditions employed for the measurement, minimize possible photodegradation of the SAM.^[22]

We recently established photoinduced dissociation of CO from $[Ru_3(\mu_3-O)(\mu-CH_3COO)_6(pyridine)_2(CO)]$ in CH_3CN .^[8] Photoexcitation at the cluster-to-CO ligand charge-transfer band at about 300 nm results in dissociation of CO to afford corresponding CH₃CN complex $[Ru_3(\mu_3-O)(\mu-$ CH₃COO)₆(pyridine)₂(CH₃CN)] with a quantum yield of 0.012 at 298 K, while an intense absorption band at λ_{max} = 585 nm is not totally responsible for CO dissociation. In the present study, the importance of photoexcitation wavelength for triggering ligand substitution at the monolayer/solution interface was demonstrated by two experiments. First, when irradiation was performed with an Hg lamp through long-pass cutoff filters, the rate constant for CO dissociation ($k = 5.3 \times$ $10^{-4} \,\mathrm{s}^{-1}$ for $\lambda > 340 \,\mathrm{nm}$ and $1.4 \times 10^{-4} \,\mathrm{s}^{-1}$ for $\lambda > 380 \,\mathrm{nm}$) became approximately one order of magnitude smaller than that obtained on unfiltered irradiation, that is, the photoactive band(s) lies in the UV region. Irradiation at 400 nm or longer wavelength does not cause any CO dissociation. Second, irradiation with visible light from an Xe lamp (630 mW cm⁻²) also retarded the photoinduced substitution $(k = (8.1 \pm 0.1) \times$ $10^{-4} \, \mathrm{s}^{-1}$).[23]

An additional interesting feature of the present SAM is the observation of photocurrent generation. Figure 3 shows the photocurrent action spectrum of the monolayer assembled on Au(111) and the UV/Vis absorption spectrum of 1 in acetonitrile. The anodic photocurrent response of the monolayer is shown in the inset. The photocurrent action spectrum shows a remarkable dependence on the irradiation wavelength. The maximum photocurrent was observed for excitation at 320 nm, while the photocurrent intensity was markedly decreased at shorter and longer wavelengths. Irradiation at 350 nm or longer wavelength did not lead to photocurrent generation, which clearly shows the inactivity of the absorption band in the visible region ($\lambda_{max} = 584$ nm) in the photocurrent response. The maximum peak of the action spectrum definitely corresponds to the location of the absorption

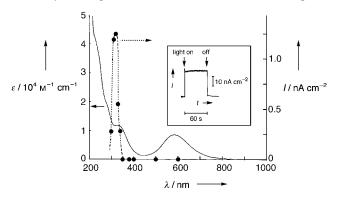


Figure 3. Absorption spectrum of 1 in acetonitrile (solid line) and photocurrent action spectrum (filled circles) of the monolayer of 1 on Au(111) in contact with 0.1 M HClO₄. Monochromated light intensity: 280 μWcm⁻². Applied potential: +0.3 V versus Ag/AgCl. Inset: anodic photocurrent response with irradiation from an Hg lamp (210 mWcm⁻²).

shoulder in 1, which has been tentatively assigned to clusterto-ligand or cluster-to-CO charge-transfer transitions. [8] Since the intensity of the monochromated light employed here is fairly low (280 $\mu W\,\text{cm}^{-2}),$ the action spectrum obtained indeed reflects the photocurrent response of the CO-terminated SAM. We note that the monolayer shows reproducible photocurrent data as long as the {Ru^{II}-CO} bond in 1 remains intact. Work to accurately determine the nature of the photocurrent and to fully address its mechanism is currently in progress. Our preliminary observation indicates that the aqua-terminated monolayer formed on intense irradiation of its CO-terminated counterpart also exhibits an anodic photocurrent but with much lower intensity. These observations indicate that photochemical ligand replacement can be used to tune not only the redox potential but also the photocurrent characteristics of our SAM on Au.

In conclusion, we have described photochemical ligand substitution reaction of a redox-active SAM for the first time. We expect that suitable selection of photoresponsive coordination compounds, a CO-ligated triruthenium complex in our case, may offer a route to significant development in the fabrication of redoxactive and photoactive layers that can show device characteristics at an electrochemical interface.

Experimental Section

The Au(111) disk for SAM preparation was electrochemically etched and annealed as described in ref. [24]. The surface area was estimated from a cyclic voltammogram recorded in 0.1m aqueous H₂SO₄, based on the charge under the cathodic wave due to gold oxide reduction. [25] The roughness factor of the Au(111) surface was 1.15. Prior to SAM formation, the Au(111) disk surface was flame-annealed with a hydrogen burner and slowly cooled in air. For SAM formation, the disk was immersed in an ethanol solution of 1 (50 µm) for 2 d at RT. The SAM-modified Au(111) was rinsed with ethanol and Milli-Q water, dried by blowing nitrogen gas over the surface, and it was subjected to photoelectrochemical measurements. The electrode potential was controlled by a Hokuto Denko HA-151 potentiostat, while the external potential was provided by a Hokuto Denko HB-111 function generator. The light intensity was determined by an optical power meter. For recording of the photocurrent action spectrum, monochromated light from the Hg lamp was used. The light intensity was adjusted to 280 μW cm⁻² for each measurement.

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